

# Enhanced Thermoelectric Performance of Yb-Single-Filled Skutterudite by Ultralow Thermal Conductivity

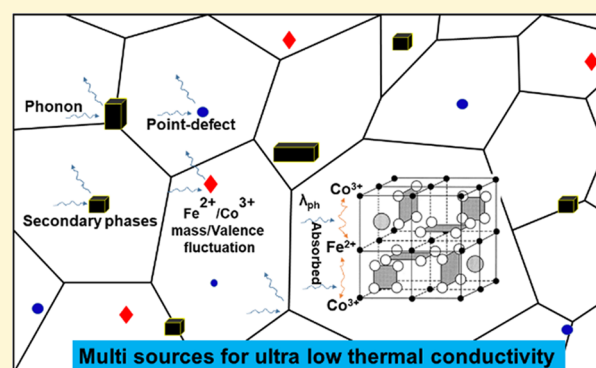
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## Supporting Information

**ABSTRACT:** The filling fraction limitation (FFL) in n-type CoSb<sub>3</sub> skutterudites is far below that of p-type (Fe,Co)Sb<sub>3</sub>-based skutterudites, and it is critical to increase FFL for accomplishing high thermoelectric figure of merit ( $ZT_{\max}$ ). Here, a series of Yb<sub>x</sub>Co<sub>4-y</sub>Fe<sub>y</sub>Sb<sub>12</sub> alloys with  $x = 0.25-0.5$  and  $y = 0.1-0.5$  were synthesized, which demonstrate a clear increase of the FFL of Yb from  $\sim 0.3$  in CoSb<sub>3</sub> to 0.5. Ultralow thermal conductivities of 2.0–2.5 W/m·K at 300 K and 1.75 W/m·K at  $\sim 600$  K have been achieved, which are the lowest values reported among skutterudite materials and comparable with p-type skutterudites. These ultralow thermal conductivities result from the combination of secondary phase scattering and phonon scattering from dynamic electron exchange between Fe<sup>2+</sup> and Co<sup>3+</sup>. High  $ZT_{\max}$  values of 1.28 at 740 K and 1.34 at 780 K are obtained, which are among the best values reported in the temperature range of 740–800 K. The temperature at which maximum  $ZT_{\max}$  appears is shifted below 850 K. These results are highly exciting toward the development of multistage segmented and cascade thermoelectric power generators for in-air operations.



## 1. INTRODUCTION

Thermoelectric (TE) modules are solid-state devices commonly used for converting waste heat into electricity and for thermal cooling.<sup>1–4</sup> The performance of TE materials is characterized by dimensionless figure of merit,  $ZT = S^2\sigma T/\kappa$ , where  $S$  is the Seebeck coefficient (thermopower),  $\sigma$  is the electrical conductivity,  $T$  is the absolute temperature, and  $\kappa$  is the total thermal conductivity. To obtain high  $ZT$ , it is crucial to maximize the power factor (PF)  $S^2\sigma$  and simultaneously minimize  $\kappa$ . The parameters  $S$ ,  $\sigma$ , and  $\kappa$  are generally correlated with each other, which prohibits the simultaneous optimization of electrical and thermal transport to achieve higher  $ZT$ .

Among various state-of-the-art TE materials, CoSb<sub>3</sub> has attracted the greatest interest because of its reasonable band gap, high carrier mobility,<sup>5,6</sup> relatively low cost, and benign constituent elements compared to other TE materials, for example, CoAs<sub>3</sub> and PbTe.<sup>7–11</sup> The thermal conductivity of binary CoSb<sub>3</sub> is large, resulting in very low  $ZT$  and, thus, poor thermal-to-electrical conversion efficiency.<sup>12–15</sup> However, skutterudites filled with various guest atoms<sup>16–23</sup> in the nanocages distinguish themselves as better TE materials exhibiting a phonon glass–electron crystal behavior<sup>24</sup> by simultaneously possessing low thermal conductivity like a glass and high electrical conductivity like a crystal. The fillers loosely

bound to Sb host atoms in the intrinsic nanocages result in Einstein-like vibrational modes that can strongly scatter phonons and reduce lattice thermal conductivity ( $\kappa_{\text{lattice}}$ ).<sup>25–27</sup> Yb-filled skutterudites show remarkable TE properties around 850 K due to their small ionic radius and heavy atomic mass as compared to other fillers, which leads to low thermal conductivity because of the lowest rattling frequency of  $\sim 42$  cm<sup>-1</sup> in CoSb<sub>3</sub>.<sup>28,29</sup> It is expected that Yb<sup>2+</sup> acts as an electron donor, which can alter carrier concentration ( $n_H$ ) in the range of  $10^{19}$ – $10^{21}$  cm<sup>-3</sup> to achieve the moderate  $n_H$  necessary for the optimization of  $ZT$ . Because of the relatively low air and moisture sensitivities of the Yb filler, it is an attractive material for the TE generator (TEG) used in waste heat recovery from automobile exhaust.<sup>30</sup>

Despite extensive studies on Yb-filled skutterudites, the fractional occupancy of voids, or the so-called filling fraction limitation (FFL), has still not been conclusively determined. Many theoretical studies have attempted to calculate the FFL of fillers,<sup>31,32</sup> in addition to many experimental efforts.<sup>29,33–40</sup> These results demonstrate that FFL is very low for n-type

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CoSb<sub>3</sub>;<sup>41</sup> for example, Ce, Ba, and Eu fillers are less than 0.2, 0.3, and 0.4 for the Co<sub>3</sub>Fe matrix,<sup>42–44</sup> and the Ba + Yb filler remains at about 0.4 for the Co<sub>3.6</sub>Fe<sub>0.4</sub> matrix.<sup>45</sup> However, in the case of p-type skutterudites, such as Fe<sub>4</sub>Sb<sub>12</sub> and Fe<sub>3</sub>CoSb<sub>12</sub>, comparatively more fillers can be incorporated,<sup>46–48</sup> generally above 90% due to the charge compensation mechanism, where Fe<sup>2+</sup> contributes as an electron acceptor leading to the augmentation of more filler atoms capable of occupying nanocages.<sup>41</sup> Therefore, Fe substitution on the Co site, represented as Co<sub>4–y</sub>Fe<sub>y</sub>Sb<sub>12</sub>, is potentially an effective approach to increasing the FFL of fillers for n-type skutterudites and thereby reducing the lattice thermal conductivity.<sup>49–52</sup> Additionally, the presence of Fe<sup>2+</sup> creates a larger effective mass, which can also enhance the Seebeck coefficient.<sup>18,41</sup> Wang et al.<sup>34</sup> performed a systematic study on the FFL of Yb<sub>x</sub>Co<sub>4</sub>Sb<sub>12</sub> composites and reported a maximum FFL for Yb<sub>0.3</sub>Co<sub>4</sub>Sb<sub>12</sub> with *ZT* of ~1.5 at 850 K. Chen et al.<sup>36</sup> experimentally derived an Yb FFL of 0.6 within a Co<sub>3.5</sub>Fe<sub>0.5</sub>Sb<sub>12</sub> matrix, but room-temperature *ZT* was only ~0.11. Very few studies have been conducted on Fe substitution in n-type skutterudite materials such as (Ba,Yb)<sub>x</sub>Co<sub>3.63</sub>Fe<sub>0.37</sub>Sb<sub>12</sub><sup>45,53</sup> and M<sub>0.7</sub>Co<sub>3.75</sub>Fe<sub>0.25</sub>Sb<sub>12</sub>.<sup>54</sup> These studies show either limited filling fraction or relatively high thermal conductivity, as plotted in Figure 6b. Most of the Fe substitution work has focused on p-type skutterudites because of the crossover from n-type to p-type even for Co-rich compositions.<sup>16,42,49,53,55–59</sup> Therefore, it remains an open question as to how Fe substitution can systematically influence the FFL of Yb and influence its TE properties for n-type skutterudites.

Recent TEG research has shown that the efficiency of a single-stage device, for example, bismuth telluride,<sup>60</sup> lead telluride,<sup>61</sup> skutterudite,<sup>62–64</sup> and half-Heusler,<sup>65</sup> is relatively low because different materials possess their optimum *ZT* only in certain temperature ranges. To fully utilize TEG over a broad temperature range (from 300 to 1000 K) with higher efficiency, multistage devices, as shown in Figure S1, are being developed with enhanced overall efficiency.<sup>61,66–70</sup> These multistage devices include segmented and cascade structures where individual materials are used in their preferred temperature range, where their *ZT* is maximized and the materials avoid deterioration mainly due to oxidation. For instance, bismuth telluride, skutterudite, lead telluride, and half-Heusler materials are ideally applicable below 473 K, 400–673 K, 623–873 K, and 823–1073 K in air atmosphere, respectively. However, the maximum *ZT* (*ZT*<sub>max</sub>) of current filled skutterudites with excellent performance is still too high at roughly ~850 K.<sup>17,19,34,71</sup> To be a promising candidate for real TE applications in the temperature range of 300–773 K under vacuum or between 400–623 K in air,<sup>4,30,72,73</sup> it is imperative but very challenging to lower the *ZT*<sub>max</sub> temperature, leading to an improvement in average *ZT* (*ZT*<sub>avg</sub>).

In this study, we developed a series of Yb<sub>x</sub>Co<sub>4–y</sub>Fe<sub>y</sub>Sb<sub>12</sub> (0.25 ≤ *x* ≤ 0.5 and 0.1 ≤ *y* ≤ 0.5) compositions to investigate the Fe-dependent FFL of Yb and quantified the effect of Fe substitution on the TE properties. The polycrystalline specimens were prepared via an optimum melting-annealing-sintering technique. The results clearly demonstrate that the FFL of Yb is up to 0.4 when *y* ≤ 0.2 and it is beyond 0.5 when *y* ≥ 0.3, which is much higher than previously reported theoretical and experimental results on filled CoSb<sub>3</sub>,<sup>32–34,39,74</sup> indicating that Fe substitution can effectively increase the FFL of Yb. Ultralow thermal conductivity (~1.7–2.0 W/m·K) was

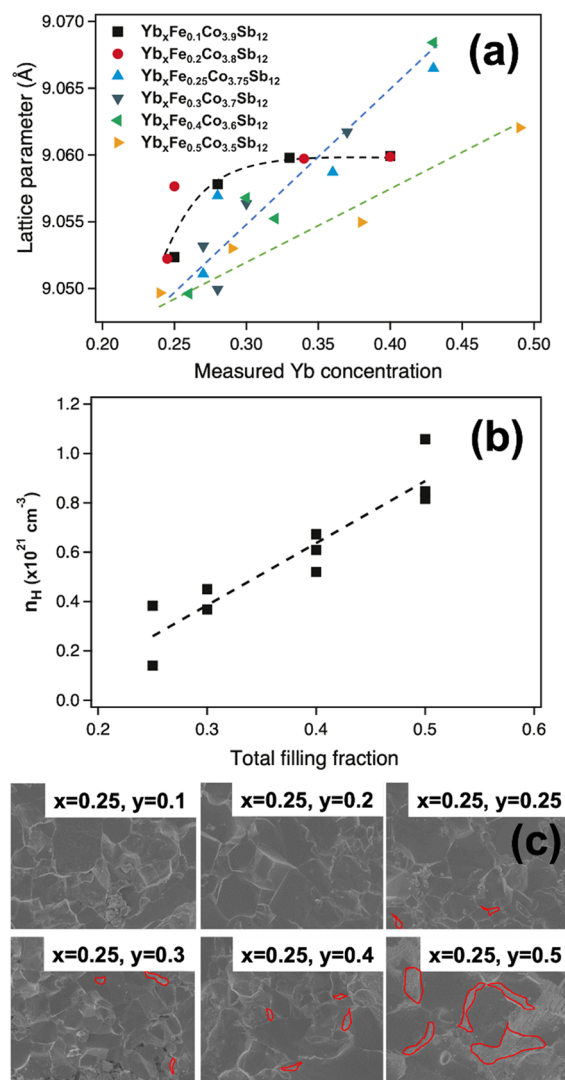
achieved for various compositions, and this conductivity range is about 25–40% lower than that of filled CoSb<sub>3</sub> compounds<sup>33–35,37,39</sup> and comparable with p-type skutterudites. As a result of the optimized power factor and ultralow thermal conductivity, we found a *ZT* value of 1.28 at 740 K for a nominal composition of Yb<sub>0.25</sub>Co<sub>3.75</sub>Fe<sub>0.25</sub>Sb<sub>12</sub> and a *ZT* value of 1.34 at 780 K for a nominal composition of Yb<sub>0.4</sub>Co<sub>3.8</sub>Fe<sub>0.2</sub>Sb<sub>12</sub>. These results also indicate that the *ZT*<sub>max</sub> temperature has been successfully shifted below 800 K.

## 2. RESULTS

### 2.1. Structure and Yb Filling Fraction Limitation

**(FFL).** The XRD pattern of sintered Yb<sub>x</sub>Co<sub>4–y</sub>Fe<sub>y</sub>Sb<sub>12</sub> (*x* = 0.25–0.5; *y* = 0.1–0.5) pellets is shown in Figure S2. All of the major diffraction peaks can be indexed to body-center cubic *Im* $\bar{3}$  space groups of skutterudite CoSb<sub>3</sub> phase. However, a trace amount of FeSb<sub>2</sub> was observed with increasing Fe content when *y* ≥ 0.4. The presence of a small amount of YbSb<sub>2</sub> phase can only be detected in two cases: *x* ≥ 0.4 and *y* ≤ 0.2 or *x* = 0.5 and *y* ≤ 0.4. The appearance of YbSb<sub>2</sub> impurity indicates that Yb FFL is less than 0.4 when *y* ≤ 0.2, which can be verified by calculating the lattice parameters as a function of nominal Yb content, as shown in Figure 1a, saturated at a value of ~9.06 Å. These results are in agreement with similar findings on filled CoSb<sub>3</sub> in the literature.<sup>33–35,37,39</sup> However, the linear increase of the lattice parameter *a* with an increase of Yb content shows a nonsaturated value when the Fe content is higher than 0.25 (shown as hollow dots and green and blue dash lines in Figure 1a). This suggests that Yb FFL should be higher than 0.5 when *y* ≥ 0.25. Figure 1b also illustrates a nearly linear increase of carrier concentration as a function of the Yb-filling fraction, which proves that Yb contributes as electron donor-occupied nanocages. The isotropic atomic displacement parameters (ADPs) of the Yb filler were found to be about one order of magnitude larger than those of the Co/Fe and Sb matrix atoms, which is consistent with the results reported in other single-filled skutterudites.<sup>29</sup> Interestingly, the ADPs of Co/Fe were also found to be generally larger than that of Co in a single-filled CoSb<sub>3</sub> matrix,<sup>75</sup> probably leading to lower thermal conductivity with higher disordering.

The compositions of sintered pellets were determined by EDS. Table S2 lists the determined compositions and room-temperature transport properties for selected samples. The Yb content is almost the same as the nominal composition, when *x* is smaller than 0.3. However, the Yb content is smaller than the nominal composition when *x* is larger than 0.4 and saturates at an Yb content of ~0.49, which is consistent with previous skutterudite phase diagram study results.<sup>76–79</sup> Figure 1c shows the microstructure of samples #1–#6 (*x* = 0.25 and *y* = 0.1–0.5). The grain size varies from a nanometer range to a few micrometers, mostly ~2 μm, and there is no significant change in grain size with an increase of Fe content. However, coarser and coarser grain boundaries were observed with the increase of Fe content, as shown by marked regions with red lines in Figure 1c. A similar phenomenon was also observed on all other samples. EDS and elemental mapping confirm that these coarse regions relate to the FeSb<sub>2</sub> impurity phase, which may not be detected by XRD because of very low volume fraction when the Fe content is less than 0.4. Figure S3 illustrates the trace amounts of nano- to micro-sized Yb aggregations in samples #1–#6 even in a single-pure phase shown by XRD. This is probably due to the relatively low



**Figure 1.** (a) Refined lattice parameter  $a$  as a function of nominal Yb content  $x$  for  $\text{Yb}_x\text{Co}_{4-y}\text{Fe}_y\text{Sb}_{12}$  sintered pellets, (b) total Yb-filling fraction dependence of carrier concentration, and (c) Backscattered electron image of samples #1–#6 (when  $x = 0.25$  and  $y = 0.1$ – $0.5$ ). The locations are randomly selected in these skutterudite grains for EDX analysis to estimate actual compositions, as shown in Table S2. The marked regions with red lines indicate the coarse grain boundaries where impurity phases form.

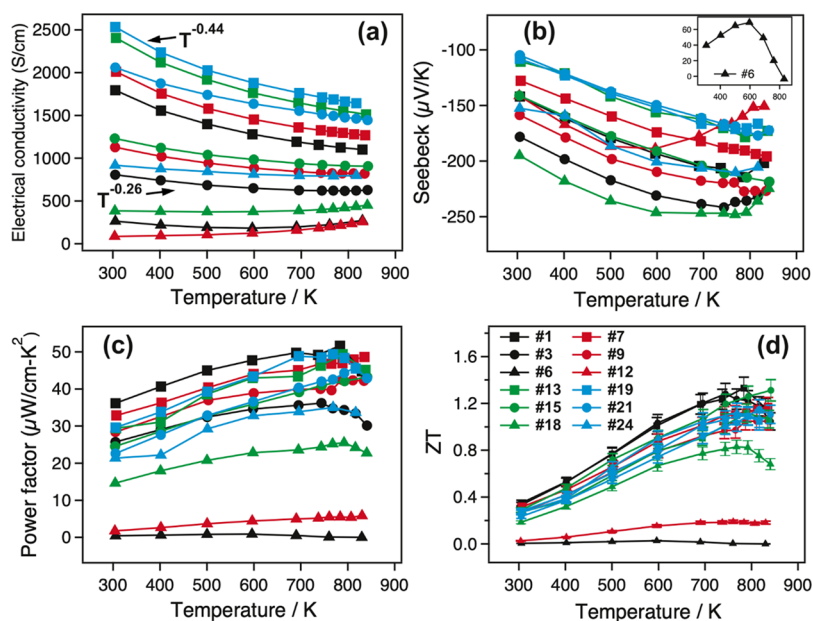
quenching rate of the traditional melting-annealing method and its peritectic nature leading to slow kinetics for the formation of the filled skutterudites. The localized secondary phases may change the filling level in a local region and lead to defects along grain boundaries, which could be an effective phonon scattering source. Moreover, because  $\text{FeSb}_3$  does not exist, micro-sized  $\text{FeSb}_2$  aggregations were found in high Fe-content compositions, as shown in Figure S3f. When Yb is beyond saturation, in  $\text{Yb}_{0.5}\text{Co}_{3.8}\text{Fe}_{0.2}\text{Sb}_{12}$ ,  $\text{Yb}_{0.5}\text{Co}_{3.75}\text{Fe}_{0.25}\text{Sb}_{12}$ , and  $\text{Yb}_{0.5}\text{Co}_{3.7}\text{Fe}_{0.3}\text{Sb}_{12}$ , for example, it turns to form  $\text{YbSb}_2$  impurities, as shown in Figure S4. These secondary phases could probably be moderated by applying a novel synthesis method with an ultrahigh cooling rate, for example, melting-spinning.<sup>47</sup>

**2.2. Thermoelectric Properties.** The selected room-temperature thermoelectric properties, bulk density ( $\rho$ ), carrier concentration ( $n_H$ ), and mobility ( $\mu_H$ ) of  $\text{Yb}_x\text{Co}_{4-y}\text{Fe}_y\text{Sb}_{12}$

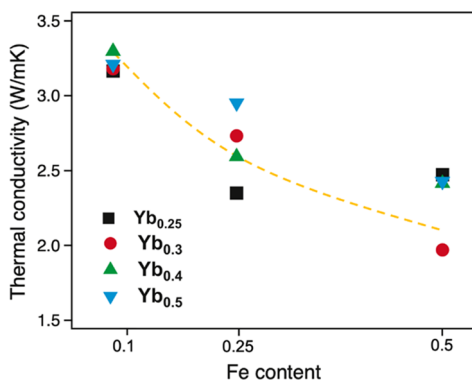
skutterudites are summarized in Table S2. These results show that, in general, electrical conductivity increases and the absolute value of the Seebeck coefficient decreases with increasing Yb content because  $\text{Yb}^{2+}$  acts as an electron donor, resulting in higher carrier concentration. It is evident that, as the FFL increases from 0.25 to 0.5, the carrier concentration increases.

Figure 2 shows the temperature dependence of the electrical conductivity ( $\sigma$ ), Seebeck coefficient ( $S$ ), and power factor (PF) for selected samples. The  $\sigma$ ,  $S$ , and PF properties for all samples are summarized in Figures S6–S8. The electrical conductivity decreases with increasing temperature for most of the compositions, indicating that these alloys are heavily doped, in fact, degenerately doped semiconductors. These compositions hence exhibit metallic electrical conductivity behavior, with the Fermi level situated among allowed states and in a region with finite density of states. Because  $\text{Fe}^{2+}$  substitution on the Co site and the  $\text{Yb}^{2+}$  filler function as an electron acceptor and donor, respectively, the electrical conductivity decreases with increasing Fe content but increases with increasing Yb content. The FFL of Yb increased because of the charge compensation balance mechanism. For instance,  $\text{Yb}_{0.25}\text{Co}_{3.9}\text{Fe}_{0.1}\text{Sb}_{12}$  and  $\text{Yb}_{0.5}\text{Co}_{3.75}\text{Fe}_{0.25}\text{Sb}_{12}$  yield similar  $\sigma$  yet twice the increment of Yb-filling fraction, from 0.25 to 0.5. The observed increase in electrical conductivity is also consistent with the Hall effect and magnetotransport measurements. When  $\text{Yb}/\text{Fe} < 1:1$ , for example, samples #6 ( $\text{Yb}/\text{Fe} = 1:2$ ), #12 ( $\text{Yb}/\text{Fe} = 3:5$ ), and #18 ( $\text{Yb}/\text{Fe} = 4:5$ ),  $\sigma$  increases with increasing temperature, indicating an occurrence of a transition to semiconducting behavior, characterized by nondegenerate doping, with the Fermi level now situated in an energy gap. Thus, a stronger bipolar effect was observed for these samples because both electrons and holes were present at elevated temperature. The Seebeck coefficient was negative for most samples, and the absolute values of  $S$  ( $|S|$ ) increase almost linearly with a temperature up to 850 K. One exception was sample #6 because it converted to a p-type semiconductor due to a very low  $\text{Yb}/\text{Fe}$  ratio, as shown in Figure 2b. On the other hand, the bipolar effect leads to the reduction of  $|S|$  with increasing temperatures above 600 K for those samples that show gapped nondegenerate semiconducting behavior, which further results in the relatively poor TE performance at high temperatures because of the mixed-electron and hole carrier transport in the conduction band, as shown in Figure S5. Overall, our Fe-substitution samples show the advantages of maximum  $|S|$  shifting to a lower temperature range of 740–780 K.

Figure 3 shows the total thermal conductivity as a function of Fe content for selected  $\text{Yb}_x\text{Co}_{4-y}\text{Fe}_y\text{Sb}_{12}$  samples. Combined with Figure S9, the lattice thermal conductivity generally decreases with either increasing Fe content or increasing Yb content, which is consistent with previous results.<sup>40,80,81</sup> The increase of  $\kappa_{\text{lattice}}$  for high Fe-content compositions possibly originates from the extremely high lattice thermal conductivity of  $\text{FeSb}_2$  as a secondary phase.<sup>82,83</sup> Meanwhile,  $\kappa_e$  is only dependent on the carrier concentration because it decreases with increasing Fe content and increases with increasing Yb content. The ratio of  $\kappa_e/\kappa_{\text{total}}$  varies from a minimum of  $\sim 10\%$  (sample #18) to  $\sim 50\%$  (sample #19) according to different carrier concentrations. The lattice thermal conductivity basically obeys a  $T^{-1}$  relation until the involvement of bipolar thermal transport above  $\sim 600$  K, indicating the predominance of Umklapp scattering processes. The bipolar effects are



**Figure 2.** (a–d) Temperature dependence of (a) electrical conductivity of selected  $\text{Yb}_x\text{Co}_{4-y}\text{Fe}_y\text{Sb}_{12}$ , (b) Seebeck coefficient of selected  $\text{Yb}_x\text{Co}_{4-y}\text{Fe}_y\text{Sb}_{12}$ , (c) power factor of selected  $\text{Yb}_x\text{Co}_{4-y}\text{Fe}_y\text{Sb}_{12}$ , and (d) figures of merit of selected  $\text{Yb}_x\text{Co}_{4-y}\text{Fe}_y\text{Sb}_{12}$  samples with  $\pm 7\%$  error bars. The inset in (b) is the Seebeck coefficient of sample #6, which shows a positive value leading to p-type behavior. The detailed compositions can be found in Table S1.



**Figure 3.** Total thermal conductivity as a function of Fe content for selected  $\text{Yb}_x\text{Co}_{4-y}\text{Fe}_y\text{Sb}_{12}$  samples.

significant for low Yb-content and high Fe-content compositions because of the increased hole concentrations resulting from Fe substitution, for example, samples #6, #12, #18, and #24. The lowest lattice thermal conductivity at room temperature was found to be  $\sim 1.4$  W/m·K, which is one of the lowest values reported in the literature.<sup>27,34,73,84</sup>

As shown in Figure 2d and Figure S10, most of the compositions exhibit a temperature-dependent ZT between 0.3 at 300 K and 1.35 at 700–800 K. Maximum ZT values reach 1.33 for  $\text{Yb}_{0.25}\text{Co}_{3.9}\text{Fe}_{0.1}\text{Sb}_{12}$  (sample #1) at 780 K, 1.28 for  $\text{Yb}_{0.25}\text{Co}_{3.75}\text{Fe}_{0.25}\text{Sb}_{12}$  (sample #3) at 740 K, and 1.34 for  $\text{Yb}_{0.4}\text{Co}_{3.8}\text{Fe}_{0.2}\text{Sb}_{12}$  (sample #14) at 780 K. We noticed a significant shift of  $ZT_{\text{max}}$  from  $\sim 850$  K<sup>17,19,34,71</sup> to the range between 750 and 800 K, which will enhance  $ZT_{\text{avg}}$  leading to the potential improvement of efficiencies of segmented and cascaded thermoelectric devices.

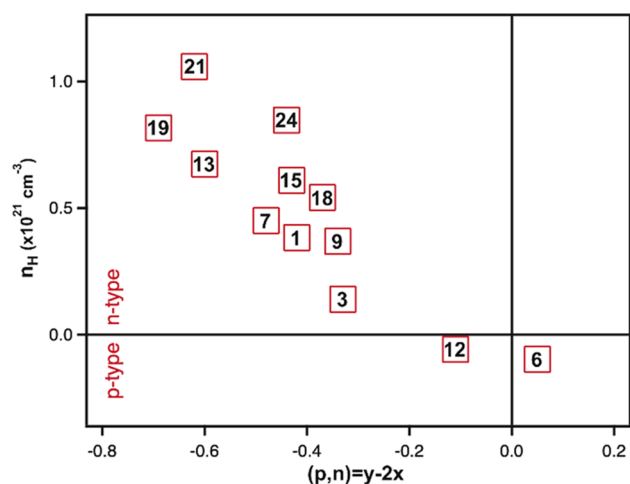
### 3. DISCUSSION

#### 3.1. Electronic Transport Characterization upon Fe Substitution.

Among all the  $\text{Yb}_x\text{Co}_{4-y}\text{Fe}_y\text{Sb}_{12}$  samples, the ISI

of sample #5 ( $\text{Yb}_{0.25}\text{Co}_{3.6}\text{Fe}_{0.4}\text{Sb}_{12}$ ) is the lowest (Figure S7), and only sample #6 ( $\text{Yb}_{0.25}\text{Co}_{3.5}\text{Fe}_{0.5}\text{Sb}_{12}$ ) exhibits a positive  $S$ , as plotted in the inset of Figure 2b. This behavior marks the transition from n-type to p-type doping. The electron transport shows a complex dependence on the Co/Fe ratio due to a subtle interplay between the hole doping by Fe and the influence of the filler concentration. As Chen et al.<sup>42</sup> and Morelli et al.<sup>40</sup> proposed, the carrier concentration per formula unit of our  $\text{Yb}_x\text{Co}_{4-y}\text{Fe}_y\text{Sb}_{12}$  can be modified and given by  $(p,n) = 4 - (4 - y) - 2x = y - 2x$ . When this quantity is negative, the sample will be n-type, whereas the sample will be p-type when the equation results in a positive value. In our studies,  $(p,n)_{\#5}$  equals  $-0.1$  to  $-0.15$ , as calculated by nominal and actual compositions, whereas  $(p,n)_{\#6}$  gives slightly positive values of 0 to 0.05, as shown in Figure 4. Thus, our samples are generally consistent with the above calculations. Sample #12 indicates that a small offset might occur for the  $(p,n)$  values very close to 0.

The electrical conductivities of all degenerately doped samples closely follow a power law relation with temperature ( $\sigma \approx T^s$ ), where the temperature exponent  $s$  evolves gradually from  $-0.44$  to  $-0.26$ , as shown in Figure 2a. Such values for the exponent  $s$  are atypical for degenerately doped semiconductors at higher temperatures above their Debye temperature. A comparison shows that, in  $\text{Bi}_2\text{Te}_3$ <sup>85</sup> and  $\text{PbTe}$ ,<sup>86</sup>  $-1.5 < s < -2$  (typical for lattice scattering), denoting that, in  $\text{Bi}_2\text{Te}_3$  and  $\text{PbTe}$ ,  $\sigma$  decreases faster with increasing temperature. The low values for the exponent  $s$  in our degenerately doped samples can be ascribed to dominance of, for example, impurity or point-defect scattering, particularly from the chemical substitution of Fe on the Co site. On the other hand, the behavior can be attributed to rapid carrier concentration changes with temperature.<sup>87</sup> Unlike those typical extrinsic semiconductors showing weak temperature-dependent carrier concentration, such as  $\text{Bi}_2\text{Te}_3$ <sup>85</sup> and  $\text{PbTe}$ ,<sup>86</sup> the  $n_H$  of filled skutterudites strongly correlates to rising temperature



**Figure 4.** Carrier concentration as a function of  $(p,n) = y - 2x$  for  $\text{Yb}_x\text{Co}_{4-y}\text{Fe}_y\text{Sb}_{12}$ . The  $x$  and  $y$  values used for calculation are actual compositions from Table S2.

from  $\sim 10^{19} \text{ cm}^{-3}$  at 300 K to  $\sim 10^{21} \text{ cm}^{-3}$  at 850 K.<sup>34,47,87</sup> Fillers contribute their valence electrons as electron donors after being inserted into the nanocages of the  $\text{CoSb}_3$  lattice. However, as reported by Shi et al.,<sup>88</sup> these fillers tend not to transfer all valence electrons to the neighboring Sb atoms at room temperature because of the nonpure-ionic bonding between fillers and Sb atoms, where Yb shows effective charge states of +1.73 to 1.76. Then, the increase of  $n_H$  with increasing temperature could correlate with the gradual ionization of Yb with increasing temperature, leading to an increased effective charge state much closer to +2. The decreasing rate of change of electrical conductivity for our compositions is also smaller

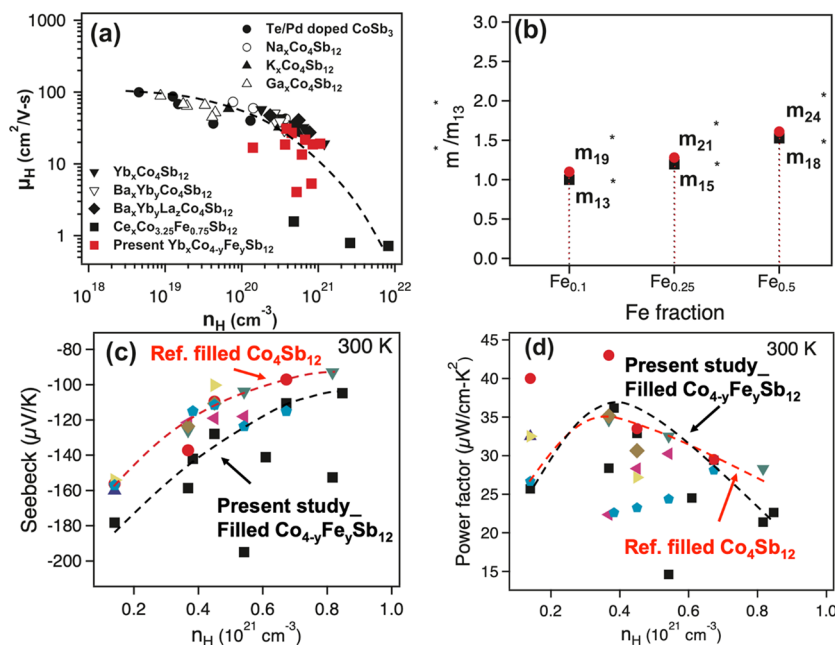
than that of reported  $\text{CoSb}_3$ , for example,  $\text{Yb}_x\text{Co}_4\text{Sb}_{12}$  ( $T^{-0.66}$  to  $T^{-0.51}$ ).<sup>34</sup> Figure 5a shows the room-temperature mobility as a function of carrier concentration. Single-, double-, and multi-filled  $\text{CoSb}_3$  skutterudites present similar mobility values at a fixed carrier concentration, whereas Te- and Pd-doped  $\text{CoSb}_3$  on the Sb site and Fe-substituted skutterudites possess smaller  $\mu_H$  values at a given  $n_H$ . Because fillers hardly weaken the electron transport in skutterudites, Fe substitution must be considered to affect transport in other ways, for example, by changing the effective mass. On the basis of a simple parabolic band model and an energy-independent scattering approximation, the Seebeck coefficient for degenerate semiconductors is given by eq 1<sup>89</sup>

$$S = \frac{8\pi^2 k_B^2}{3eh^2} m^* T \left( \frac{\pi}{3n_H} \right)^{2/3} \quad (1)$$

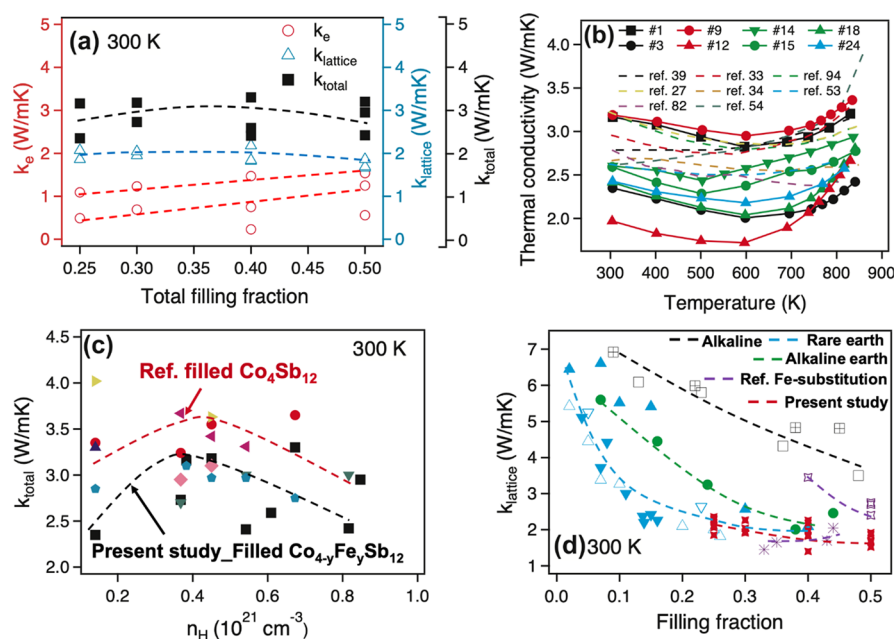
where  $n_H$  is the carrier concentration,  $m^*$  is the effective mass of the carrier, and  $k_B$ ,  $e$ ,  $h$ , and  $T$  are the Boltzmann constant, unit charge, Planck constant, and absolute temperature, respectively. To compare the relative change of  $m^*$  with the increase of Yb and Fe content at room temperature, we use the ratio of Seebeck coefficients of two different samples

$$\frac{m_i^*}{m_j^*} = \frac{s_i}{s_j} \left( \frac{n_{Hi}}{n_{Hj}} \right)^{2/3} \quad (2)$$

where  $i$  and  $j$  represent the sample number. We found that the ratio of  $m_i^*/m_j^*$  is close to 1 at a given Fe content, indicating that  $m^*$  stays essentially constant with increasing Yb content. Figure 5b shows the effective mass ratio between selected samples at a fixed Yb content, where  $m_{13}^*$  is set as the fundamental mass and equals unity. The ratio  $m^*/m_{13}^*$



**Figure 5.** (a) Carrier concentration dependence of electron mobility in a filled skutterudite. The reference data are from refs 15, 27, 33, 34, 73, 87, and 90. (b) Effective mass ratio between selected samples and sample #13, where the effective mass of sample #13 is set as fundamental mass and equals to 1. The applied calculation formula can be found in eqs 1 and 2. (c, d) Carrier concentration dependence of (c) Seebeck coefficient and (d) power factor at 300 K. Black spots are from the present study with filled  $\text{Co}_{4-y}\text{Fe}_y\text{Sb}_{12}$  compositions. Colorful spots are from reference filled  $\text{Co}_4\text{Sb}_{12}$  compositions. Data for colorful spots are taken from refs 15, 27, 33, 34, 73, 87, and 90. The dash line is a guide to the eye. The detailed compositions can be found in Table S1.

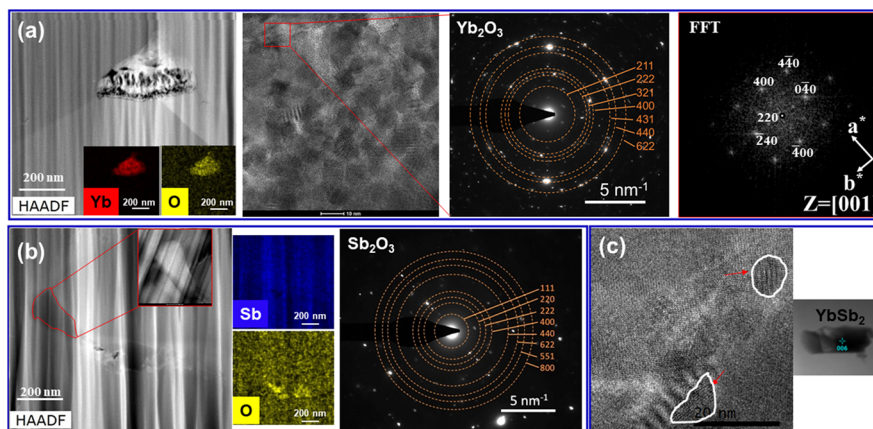


**Figure 6.** (a) Yb total filling fraction dependence of electron, lattice, and total thermal conductivities of selected  $\text{Yb}_x\text{Co}_{4-y}\text{Fe}_y\text{Sb}_{12}$  samples at 300 K. (b) Temperature dependence of total thermal conductivities of selected  $\text{Yb}_x\text{Co}_{4-y}\text{Fe}_y\text{Sb}_{12}$  samples and single- and multi-filled  $\text{CoSb}_3$ . Data for dash lines are taken from refs 27, 33, 34, 39, 53, 54, 82, and 94. (c) Carrier concentration dependence of total thermal conductivities at 300 K. Black spots are from the present study with filled  $\text{Co}_{4-y}\text{Fe}_y\text{Sb}_{12}$  compositions. Colorful spots are from reference filled  $\text{Co}_4\text{Sb}_{12}$  compositions. The dash line is a guide to the eye. (d) Filling fraction dependence of lattice thermal conductivities at 300 K. Filled red stars are from the present study with filled  $\text{Co}_{4-y}\text{Fe}_y\text{Sb}_{12}$  compositions. Colorful spots are from reference filled  $\text{Co}_4\text{Sb}_{12}$  compositions. The dash line is a guide to the eye. All the data for colorful spots are taken from refs 15, 27, 33, 34, 73, 87, and 90. The detailed compositions can be found in Table S1.

increases up to  $\sim 1.6$  when the Fe content is increased from 0.1 to 0.5, indicating that the change of  $m^*$  is positively correlated with the Fe content. The trade-off trends between  $\sigma$  and  $S$  are always a barrier to the enhancement of TE properties. As reported by Ballikaya et al.,<sup>53</sup> the decrease of carrier mobility with the increase of Fe content is very limited above 300 K. Although Fe substitution cannot alter this inverse trend completely, the heavily doped  $\text{Co}_{4-y}\text{Fe}_y\text{Sb}_{12}$  matrix is still able to provide greater  $S$  value by offering larger  $m^*$ , leading to a decent power factor compared to the  $\text{CoSb}_3$  matrix,<sup>15,27,33,34,73,87,90</sup> as shown in Figure 5c. Figure 5d also exhibits a competitive power factor for carrier concentrations in the range of  $3.5 \times 10^{20}$  to  $5 \times 10^{20} \text{ cm}^{-3}$  for our filled  $\text{Co}_{4-y}\text{Fe}_y\text{Sb}_{12}$  samples. Those relative low PF values originate from the decrease of  $\sigma$  due to Fe substitution. According to the effective charge states of filler calculation from previous works,<sup>27,31,88,91</sup> if the effective charge state ( $q_v$ ) of the fillers is +1, then the optimum total filling fraction ( $x_{\text{total}}$ ) should be  $\sim 0.33-0.7$ . In our filled  $\text{Co}_{4-y}\text{Fe}_y\text{Sb}_{12}$  matrix,  $q_v$  is Fe-dependent, which equals to  $2-y$ , where  $y = 0.1-0.5$ . Thus, the optimum  $x_{\text{total}}$  in our system lies between 0.17 and 0.47. This encapsulates why we select  $x$  between 0.25 and 0.5.

**3.2. Ultralow Thermal Conductivity.** The thermoelectric properties are dependent on variable processing parameters,<sup>92</sup> as discussed in Supporting Information. The ultralow thermal conductivities are determined by both compositional design and synthesis process. Figure 6a shows that the electronic thermal conductivity increases with an increasing Yb-filling fraction at room temperature, for example, from  $\sim 0.5 \text{ W/m}\cdot\text{K}$  at  $x = 0.25$  to  $\sim 1.3 \text{ W/m}\cdot\text{K}$  at  $x = 0.5$ . Nevertheless, our  $\text{Yb}_x\text{Co}_{4-y}\text{Fe}_y\text{Sb}_{12}$  samples still show the lowest  $\kappa_{\text{total}}$  over the entire temperature range (almost  $\sim 10\%$  lower) at given carrier concentrations compared to previous Yb-filled

$\text{CoSb}_3$ ,<sup>27,33,34,39,93</sup> as shown in Figure 6b,c. The specific heat ( $C_p$ ) used for  $\kappa_{\text{total}}$  calculations in our study is temperature-dependent from 0.22 to  $0.25 \text{ J}\cdot\text{g}^{-1}\cdot\text{K}^{-1}$ , higher than that used for triple-filled skutterudite ( $\sim 0.22 \text{ J}\cdot\text{g}^{-1}\cdot\text{K}^{-1}$ ).<sup>27</sup> Another factor that could account for the lower  $\kappa_{\text{total}}$  is the microstructure where the filled  $\text{CoSb}_3$  sample exhibits nanosized grains.<sup>33,39,93</sup> Our samples, as discussed above, consist of grains with an average size of  $\sim 2 \mu\text{m}$ . The lowest  $\kappa_{\text{total}}$  reported in the literature from triple-filling samples can be attributed primarily to the broad-frequency phonon scattering by different fillers.<sup>27</sup> The low  $\kappa_{\text{total}}$  in our work is ascribed to two effects: (1) Yb-filler rattling scattering and (2) Fe substitution on the Co site. Yb-related rattling patterns (low-lying optical phonon branches) occurring at low frequencies in the range of  $\sim 40-45 \text{ cm}^{-1}$  due to its small radius and heavy mass lead to the specific features of its phonon dispersion.<sup>34</sup> Both the enhanced optical and acoustic phonon interactions and strong anharmonic lattice dynamics can contribute to reduced lattice thermal conductivity.<sup>27,34,94</sup> As apparent from Figure 6d, our high Yb-filling fraction is quite effective in reducing  $\kappa_{\text{lattice}}$  compared to the literature results,<sup>15,27,33,34,73,87,90</sup> having substantially more effect than alkali and alkaline earth fillers. On the other hand, according to ab initio calculations based on molecular dynamics simulations reported by Kim et al.,<sup>95</sup> filling into nanocages can increase the short Sb-Sb bond on the  $\text{Sb}_4$  ring. Such bond softening can strongly influence the phonon density of states and overall vibration spectrum, leading to flattening of the acoustic branches and a reduction in the cut-off frequency, which further results in a significant drop of the group velocity of acoustic and optical branches. Thus, as shown in Figure 3,  $\kappa_{\text{lattice}}$  decreases with increasing Yb content at a given Fe content. Figure 3c shows that total thermal conductivity



**Figure 7.** (a) High-angle annular dark field (HAADF) STEM image of  $\text{Yb}_2\text{O}_3$  secondary phase, high-resolution TEM (HRTEM) image of  $\text{Yb}_2\text{O}_3$  grains within particle, corresponding selected-area electron diffraction pattern (SAED) with overlaid rings corresponding to d-spacings for cubic  $\text{Yb}_2\text{O}_3$  crystal structure, and fast Fourier transform analysis (FFT) from a single grain indexed for cubic structure. (b) HAADF STEM image of  $\text{Sb}_2\text{O}_3$  secondary phase particle, EDS mapping and spectrum, and SAED pattern with overlaid rings corresponding to d-spacings for  $\text{Sb}_2\text{O}_3$  cubic structure. (c) TEM image of  $\text{YbSb}_2$  secondary phase particle. The diffraction spots not on the rings come from the  $\text{CoSb}_3$  matrix.

decreases with the increase of Fe content at constant Yb concentration, which could result from the change of vibrational spectrum of  $\text{Sb}_4$  ring due to Fe substitution on the Co site and the interaction between Fe substitution and fillers.

The phonon scattering mechanisms include Umklapp phonon–phonon processes, phonon–boundary scattering, phonon–impurity scattering, phonon–secondary phase scattering, and phonon–electron scattering. Each scattering mechanism can be described by the relaxation rate  $1/\tau$ , which is the inverse of the corresponding relaxation time. The total phonon scattering process can be characterized as

$$\frac{1}{\tau_t} = \frac{1}{\tau_U} + \frac{1}{\tau_B} + \frac{1}{\tau_M} + \frac{1}{\tau_{\text{sec}}} + \frac{1}{\tau_{\text{ph-e}}} \quad (3)$$

where  $\tau_U$ ,  $\tau_B$ ,  $\tau_M$ ,  $\tau_{\text{sec}}$ , and  $\tau_{\text{ph-e}}$  are due to Umklapp scattering, boundary scattering, mass fluctuation impurity scattering, secondary phase impurity scattering, and phonon–electron scattering, respectively. As shown in Figure 3,  $\kappa_{\text{lattice}}$  basically follows a  $T^{-1}$  relation up to the temperature where bipolar thermal transport occurs, indicating the predominant Umklapp process, which is also the dominant scattering mechanism for degenerately doped semiconductors. According to Stokes et al.,<sup>81</sup> the estimated effective intrinsic relaxation rate of  $1/\tau_U$  is  $\sim 3 \times 10^{12} \text{ s}^{-1}$  in  $\text{Co}_{1-x}\text{Fe}_x\text{Sb}_3$  near the Debye temperature ( $\theta$ ). When the extrinsic relaxation rates ( $1/\tau_B$ ,  $1/\tau_M$ ,  $1/\tau_{\text{sec}}$ , and  $1/\tau_{\text{ph-e}}$ ) are comparable to the intrinsic U process, they act as major contributors for the reduction of thermal conductivity. Grain boundary scattering and mass fluctuation impurity scattering, with the relaxation rate of  $\sim 10^9 \text{ s}^{-1}$  range, show negligible influence on the reduction of total thermal conductivity (as discussed in Supporting Information). The in situ-formed nanoinclusions, for example,  $\text{Yb}_2\text{O}_3$ ,  $\text{Sb}_2\text{O}_3$ ,  $\text{YbSb}_2$ , and  $\text{FeSb}_2$ , with a size distribution between a few nanometers and 100 nm, as shown in Figure 7 (EDS spectra can be found in Figure S11), effectively scatter both short-range and long-range acoustic phonons and reduced thermal conductivity. Meanwhile, phonon–electron scattering turns out to be the major source in our study, leading to the ultralow thermal conductivity, which was not found to be significant in other studies on related compositions. The phonon–electron

scattering term, of which the relaxation rate is given by eq 4<sup>96</sup> (for  $T = \theta$ ), is as follows

$$\frac{1}{\tau_{\text{ph-e}}} = \frac{n_H^2 e^2 v^2}{Nk_B T \sigma \varphi} \quad (4)$$

$$\varphi = 4^3 (6\pi)^{1/2} (vm^* \delta / h)^{3/2} \quad (5)$$

where  $N$  is the Avogadro's number and  $\varphi$  is described in eq 5. By using our experimental data, a phonon–electron relaxation rate of  $\sim 10^{12} - 10^{13} \text{ s}^{-1}$  is obtained, which is comparable to the intrinsic U process relaxation rate estimated above and could be the major source of the decrease of thermal conductivity. The phonon–electron scattering, which is also called phonon drag, is mostly predominant at low temperature ( $\sim 1/\theta$ ),<sup>97,98</sup> but is limited at room temperature and above. A possible speculation is the mixed valence state effect from phonon–electron interactions.<sup>40</sup> The zero-spin  $\text{Fe}^{2+} d^6$  configuration is well known to be a somehow energetically unstable state in similar materials such as  $\text{FeS}_2$ . Thus, a scenario is that a traveling phonon can be scattered or absorbed by a dynamic exchange of electrons transferred from one  $\text{Fe}^{2+}$  to a neighboring  $\text{Co}^{3+}$ . A similar phenomenon was observed in  $\text{Fe}_3\text{O}_4$  above 119 K where a rapid phonon-assisted exchange of electrons between  $\text{Fe}^{2+}$  and  $\text{Fe}^{3+}$  leads to the drop of thermal conductivity.<sup>99</sup> In  $\text{Yb}_x\text{Co}_{4-y}\text{Fe}_y\text{Sb}_{12}$  samples, because each unit cell of volume  $a_0^3$  contains eight possible metal atom sites in a skutterudite structure,  $c(\text{Co}^{3+}) = 8(4-y)/a_0^3$  and  $c(\text{Fe}^{2+}) = 8y/a_0^3$ , so the trend of phonon scattering by dynamic exchange of electrons could be estimated<sup>100</sup> as in eq 6

$$\kappa_{\text{total}} \propto 1/D = \frac{1}{[c(\text{Co}^{3+})] \times [c(\text{Fe}^{2+})]} = \frac{a_0^6}{64(4-y)y} \quad (6)$$

where  $D$  is defined as the concentration parameter, which reaches a maximum value when  $y = 2$ . Therefore, this dynamic exchange of electron scattering on phonons becomes stronger and stronger, leading to a lower thermal conductivity with increasing Fe content up to  $y = 2$ .

**3.3. Shift of  $ZT_{\text{max}}$  to Lower Temperature.** Figure 2d shows a distinct shift in  $ZT_{\text{max}}$  from 850 K to the range of 740–780 K. As mentioned previously, lower temperatures for

$ZT_{\max}$  are required for skutterudites to fully utilize their performance in multistage devices. In our study,  $ZT_{\max}$  values of 1.33, 1.28, and 1.34 for samples #1, #3, and #14 were achieved in the temperature range of 740–780 K, as shown in Figure 2d and Figure S10. These results are comparable to the highest recorded values<sup>27,34,101</sup> so far and more than 10% higher than most  $ZT$  values<sup>33,73,84,87,90,102,103</sup> at similar temperatures. The shift of  $ZT_{\max}$  can be ascribed to the proper holes introduced into the  $\text{CoSb}_3$  matrix by Fe substitution on the Co site that shifts the temperature at which the peak Seebeck coefficient and power factor appear between 740 and 780 K as a result of the nonharmful bipolar effect. Moreover, the lowest thermal conductivities mostly appear at around 600 K, as shown in Figure S9. The further shift of the maximum Seebeck coefficient and power factor into this temperature range without deterioration of electron transport is promising and will be the subject of future research.

#### 4. CONCLUSIONS

In this study, we demonstrate (1) an enhanced filling fraction limitation of Yb-single filler from low values of 0.2–0.3 to a high value of 0.5 due to the charge balance compensation mechanism, (2) increased effective mass leading to the increase of the absolute value of the Seebeck coefficient by ~10–12.5%, and (3) ultralow thermal conductivities resulting from secondary phases and phonon–electron scattering, as low as ~1.75 W/m·K. These are the lowest values reported so far from not only single filling but also double and multi-filling. Another important result is that the temperature at which the maximum  $ZT$  value appears shifts from 850 K down to 740–780 K. Finally, we measured a high  $ZT$  value of 1.34 around 750 K, one of the highest values reported at this temperature and comparable to the best results for skutterudites. This combination of a large  $ZT$  value at a lower temperature is an important step toward wider use of skutterudites in practical multistage thermoelectric devices.

#### 5. EXPERIMENTAL PROCEDURES

**5.1. Sample Preparation.** The Yb-single-filling skutterudite with Fe substitution on Co-site sample  $\text{Yb}_x\text{Co}_{4-y}\text{Fe}_y\text{Sb}_{12}$  ( $x = 0.25, 0.3, 0.4, 0.5$ ;  $y = 0.1, 0.2, 0.25, 0.3, 0.4, 0.5$ ) was synthesized by traditional melting, followed by quenching and long-term high-temperature annealing. From this point onward, the compositions are termed as Yb series and Fe series, as shown in Table S1 (Supporting Information). The high-purity nonpowder elements Yb (99.9%, chips), Co (99.9%, pieces), Fe (99.99%, pieces), and Sb (99.9999%, shot) were weighed inside a glove box in their corresponding stoichiometric ratios and filled into quartz tubes with graphite coatings inside. The tubes were then sealed under high vacuum, and the materials were melted at 1060 °C for 20 h. The resulting melts were quenched by NaCl-saturated ice water at room temperature, annealed at 700 °C for 1 week, and then hand-ground into fine powder below 75  $\mu\text{m}$ . The resulting powders were consolidated by spark plasma sintering (SPS, Fuji SPS-615) at 650 °C under a pressure of 40 MPa for 5 min, yielding fully densified bulk pellets.

**5.2. Structural Characterization.** Room-temperature powder X-ray diffraction (XRD) data were collected on a Bruker D8 diffractometer with  $\text{Cu K}\alpha$  radiation ( $\lambda = 1.5418 \text{ \AA}$ ) to check the phase purity. The refinement by Rietveld analysis was applied for all of the compositions using the FullProf program. The actual compositions were determined by scanning electron microscopy (FEI Quanta 600 FEG) averaged over 18 randomly selected points via energy-dispersive X-ray spectroscopy (EDS). A transmission electron microscope (TEM; FEI Titan 300 and Talos F200X with Super-X

detector) was employed to examine the microstructure of the sample. The diffraction pattern analysis was done using the JEMS software.

**5.3. Thermoelectric Transport Properties.** The transverse resistance ( $R_{xy}$ , Hall resistance) and longitudinal resistance (sheet resistance) were measured in a dedicated magnetotransport setup at room temperature (297 K) using four-contact van der Pauw sample configurations. Magnetotransport results were obtained over magnetic fields ( $B$ ) up to  $\pm 1.4$  T using a lock-in amplifier to measure the voltage signals under 10 mA rms current excitation. Linearity of the current–voltage characteristics of the ohmic contacts was ascertained up to 20 mA excitation current. The electrical conductivity and Seebeck coefficient were measured simultaneously from room temperature to 850 K using an ULVAC-RIKO ZEM-3 system. High-temperature thermal properties were determined by measuring thermal diffusivity with a laser flash system (ULVAC-RIKO TC-1200RH). Specific heat was measured with a differential scanning calorimeter (Netzsch DSC 404C). Finally, thermal conductivity,  $\kappa$ , was calculated from  $\kappa = \alpha\rho C_p$ , where  $\alpha$  is the thermal diffusivity,  $\rho$  is the density, and  $C_p$  is the specific heat. The uncertainties in electrical conductivity, thermal conductivity, Seebeck coefficient, and  $ZT$  are  $\pm 5$ ,  $\pm 2$ ,  $\pm 5$ , and  $\pm 7\%$ , respectively.

#### ■ ASSOCIATED CONTENT

##### Supporting Information

The Supporting Information is available free of charge on the ACS Publications website at DOI: 10.1021/acs.chemmater.8b03994.

Schematic demonstration of multistage TE device, compositions and density/Seebeck coefficient/electrical conductivity/total thermal conductivity/carrier concentration/carrier mobility at room temperature, X-ray diffraction pattern for all samples, elemental mapping images for selected Yb-rich samples, schematic diagram of bipolar effect, additional ultralow thermal conductivity analysis, temperature dependence of electrical conductivity/Seebeck coefficient/power factor/thermal conductivity/ $ZT$  for all the samples, and EDS spectra of secondary phases (PDF)

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##### Notes

The authors declare no competing financial interest.

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