

# Some new torsional local models for heterotic strings

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# Overview

- 1 Background and Motivation
- 2 Calabi-Gray manifolds
  - Construction
  - Geometry of Calabi-Gray manifolds
  - Degenerate solutions
  - A new geometric description of Calabi-Gray manifolds
- 3 Non-perturbative solutions on a class of noncompact CY3
  - Construction
  - Strategy for finding solutions
  - A concrete example
- 4 Conclusion

# History

- Candelas-Horowitz-Strominger-Witten'85: compactification of superstrings on metric product of 6-manifolds and maximally symmetric spacetime.  
Flux  $H = 0 \rightsquigarrow$  Ricci-flat Kähler CYs
- Strominger'86 (Hull'86): compactification of heterotic superstrings on warp product of 6-manifolds and maximally symmetric spacetime.  
Flux  $H \neq 0 \rightsquigarrow$  Strominger system  
Key ingredients in derivation:
  - $\mathcal{N} = 1$  SUSY
  - Green-Schwarz anomaly cancellation

# Strominger system

- $(X, \omega, \Omega)$ : Hermitian 3-fold with canonical bundle globally trivialized by  $\Omega$
- $(E, h) \rightarrow X$ : holomorphic Hermitian vector bundle
- $R, F$ : curvature forms of  $T^{1,0}X$  and  $E$   
 Note: ambiguity in choice of connections, usually use Chern connection for  $E$  and certain Hermitian connection for  $T^{1,0}X$ .
- $\alpha' > 0$  coupling constant

The Strominger system consists of three equations

$$\begin{aligned}
 F \wedge \omega^2 &= 0, & F^{0,2} &= F^{2,0} = 0, \\
 i\partial\bar{\partial}\omega &= \frac{\alpha'}{4}(\text{Tr}(R \wedge R) - \text{Tr}(F \wedge F)), \\
 d(\|\Omega\|_\omega \cdot \omega^2) &= 0.
 \end{aligned}$$

The system makes sense for non-Kähler backgrounds!

# Observations

## Definition

On a complex  $n$ -fold, a Hermitian metric  $\omega$  is called a *balanced metric* if  $d(\omega^{n-1}) = 0$ .

$$F \wedge \omega^2 = 0, \quad F^{0,2} = F^{2,0} = 0, \quad (1)$$

$$i\partial\bar{\partial}\omega = \frac{\alpha'}{4}(\mathrm{Tr}(R \wedge R) - \mathrm{Tr}(F \wedge F)), \quad (2)$$

$$d(\|\Omega\|_{\omega} \cdot \omega^2) = 0. \quad (3)$$

- Eq. (3):  $\omega$  is conformal to a balanced metric  $\tilde{\omega}$ , i.e.,  $d(\tilde{\omega}^2) = 0 \rightsquigarrow$  mild obstructions (Michelsohn'82)
- Eq. (1): existence of Hermitian-Yang-Mills connection is equivalent to the stability of  $E$  (Li-Yau'87)
- Eq. (2): anomaly cancellation, the hardest part

# Known solutions

$$\begin{aligned}
 F \wedge \omega^2 &= 0, & F^{0,2} &= F^{2,0} = 0, \\
 i\partial\bar{\partial}\omega &= \frac{\alpha'}{4}(\mathrm{Tr}(R \wedge R) - \mathrm{Tr}(F \wedge F)), \\
 d(\|\Omega\|_\omega \cdot \omega^2) &= 0.
 \end{aligned}$$

- Kähler solution:  $d\omega = 0$ , use Ricci-flat metric (Yau'77) and take  $E = T^{1,0}X$
- Strominger'86: (infinitesimal) perturbative solutions from Kähler solution, orbifolded solutions
- Li-Yau'05: smooth perturbative solutions from Kähler solution  
 Andreas-Garcia-Fernandez'12: more general perturbations

## Known solutions cont'd

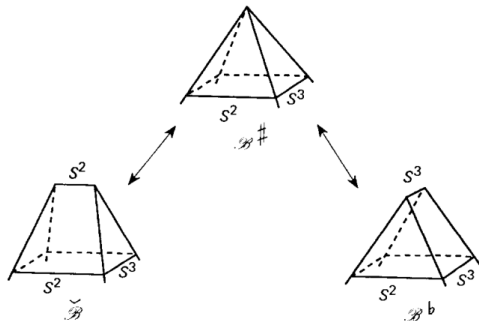
- Fu-Yau'08: non-Kähler solutions on certain  $T^2$  bundles over K3  
Fu-Tseng-Yau'09 & Becker-Tseng-Yau'09: similar local models
- On nilmanifolds and solvmanifolds:  
Fernández-Ivanov-Ugarte-Villacampa'09, Grantcharov'11,  
Fernández-Ivanov-Ugarte-Vassilev'14, Ugarte-Villacampa'14,  
Ugarte-Villacampa'15, Otal-Ugarte-Villacampa'16
- Carlevaro-Israël'10: on blow-up of conifold  $K_{\mathbb{P}^1 \times \mathbb{P}^1}$

All known solutions have special structures. No general theorem has been proved.

# Motivation from math

Local conifold transition (credit to Candelas-de la Ossa'90)

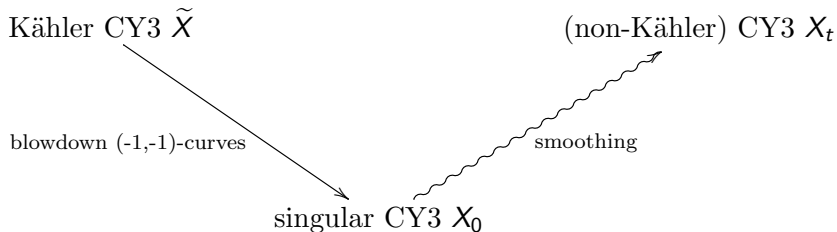
$$\mathcal{O}(-1, -1) \rightarrow \sum_{i=1}^4 z_i^2 = 0 \xrightarrow{\text{wavy arrow}} \sum_{i=1}^4 z_i^2 = t$$





# Motivation from math cont'd

Conifold transition (Clemens'83, Friedman'86)



Conjecture (Reid'87)

Any two reasonably nice CY3 can be connected via a sequence of conifold transitions.

Strominger system as guidance to canonical metrics on non-Kähler CY3, may be useful to understand the moduli of CY3

## Motivation from math cont'd

- Candelas-de la Ossa'90: Ricci-flat Kähler metrics on conifolds

In order to work in non-Kähler category, we may want to first solve Strominger system on conifolds.

- deformed conifold  $\cong SL(2, \mathbb{C})$ : F.-Yau'15  
 Ingredients: left-invariant ansatz, canonical 1-parameter family of Hermitian connections.  
 Recently generalized by Otal-Ugarte-Villacampa'16.
- resolved conifold  $\mathcal{O}(-1, -1)$ : F.'15  
 Included in the main theorem.

### Theorem (F.'15)

$N$  hyperkähler 4-manifold,  $p : Z \rightarrow \mathbb{C}P^1$  its holomorphic twistor fibration,  $X := Z \setminus p^{-1}(\infty)$ . Then  $X$  is a noncompact Calabi-Yau 3-fold and we can write down explicit solutions to the Strominger system.

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## Calabi's construction

**First trial:** find solutions to Strominger system on compact spaces

**Need:** compact non-Kähler CY 3-fold with balanced metric

### Construction (Calabi'58)

Identify  $\mathbb{R}^7$  with  $\text{Im}(\mathbb{O})$ . For any immersed oriented hypersurface  $M$  in  $\mathbb{R}^7$ , we define  $J : TM \rightarrow TM$  by

$$Jv = \nu \times v,$$

where  $\nu$  is the unit normal,  $\times$  is the cross product on  $\text{Im}(\mathbb{O})$ . Then  $J$  is an almost complex structure and  $M$  has a natural  $SU(3)$ -structure.

### Theorem (Calabi'58)

Let  $\Sigma_g \subset T^3$  be an oriented minimal surface of genus  $g$  in flat  $T^3$ , and take  $M = \Sigma_g \times T^4 \subset T^3 \times T^4$ . Then the above constructed  $J$  is in fact integrable and  $(M, J)$  is non-Kähler.

## Calabi's construction cont'd

$$M = \Sigma_g \times T^4 \subset T^3 \times T^4$$

### Remark

- Meeks'90 and Traizet'08: minimal  $\Sigma_g$  in  $T^3$  exists for all  $g \geq 3$ .
- The projection  $M \rightarrow \Sigma_g$  is holomorphic.
- Calabi'58 used this construction to give an example that  $c_1$  depends on the complex structure.
- Gray'69 generalized Calabi's construction to manifolds with vector cross product.  $\mathbb{R}^7 \rightsquigarrow$  7-manifolds with  $G_2$ -structure.  $T^4 \rightsquigarrow$  any hyperkähler 4-manifold. Moreover Gray showed that the natural metric on  $M$  is balanced.
- F.'15:  $M$  has trivial canonical bundle.

# Complex geometry of $M$

For simplicity we work with  $M = \Sigma_g \times T^4$ , it is a balanced non-Kähler Calabi-Yau 3-fold.

- Solve for local holomorphic frame for curvature calculation
- All the holomorphic 1-forms are pullback from  $\Sigma_g$ , so  $h^{1,0}(M) = g$
- $M$  does not satisfy the  $\partial\bar{\partial}$ -lemma, therefore not of Fujiki class  $\mathcal{C}$

$M$  is very far away from being algebraic.

# The conformal balanced equation

Notations:

- Gauss map:  $\nu = (\alpha, \beta, \gamma) : \Sigma_g \rightarrow \mathcal{S}^2 \subset \mathbb{R}^3$
- hyperkähler structure on  $T^4$ :  $\omega_I, \omega_J$  and  $\omega_K$
- induced metric on  $\Sigma_g$ :  $\omega_{\Sigma_g}$
- induced balanced metric on  $M$ :  $\omega_0 = \omega_{\Sigma_g} + \alpha\omega_I + \beta\omega_J + \gamma\omega_K$
- holomorphic (3,0)-form  $\Omega$  satisfies  $\|\Omega\|_{\omega_0} = \text{const}$

Hence  $(M, \omega_0, \Omega)$  solves the conformally balanced equation

$$d(\|\Omega\|_{\omega_0} \cdot \omega_0^2) = 0.$$

**Observation:**

$$\omega_f = e^{2f} \omega_{\Sigma_g} + e^f (\alpha\omega_I + \beta\omega_J + \gamma\omega_K)$$

solves the conformally balanced equation for any  $f : \Sigma_g \rightarrow \mathbb{R}$ .

# The anomaly cancellation equation

**Idea:** varying  $f$  to solve the anomaly cancellation equation

$$i\partial\bar{\partial}\omega_f = \frac{\alpha'}{4}(\text{Tr}(R_f \wedge R_f) - \text{Tr}(F \wedge F)).$$

Straightforward calculation:

- LHS =  $i\partial\bar{\partial}(e^f(\alpha\omega_I + \beta\omega_J + \gamma\omega_K))$
- $\text{Tr}(R_f \wedge R_f) = i\partial\bar{\partial}\left(\frac{\|d\nu\|^2}{2e^f}(\alpha\omega_I + \beta\omega_J + \gamma\omega_K)\right)$

Therefore by setting

$$e^{2f} = \frac{\alpha'\|d\nu\|^2}{8} \quad \text{and} \quad F = 0,$$

we solve the anomaly cancellation equation as well as the HYM.



# Degeneracy

We need

$$e^{2f} = \frac{\alpha' \|d\nu\|^2}{8}.$$

However  $\nu$  is a branched cover, so  $d\nu$  vanishes at finitely many points. Hence the solution metric

$$\omega_f = e^{2f} \omega_{\Sigma_g} + e^f (\alpha \omega_I + \beta \omega_J + \gamma \omega_K)$$

is degenerate (not too bad) along the the fibers over these branched points.

**Problem is caused by taking branched cover!**

# Hyperkähler 4-manifolds

## Definition

A *hyperkähler* manifold is a Riemannian manifold  $(M, g)$  with compatible complex structures  $I, J$  and  $K$  with  $I^2 = J^2 = K^2 = IJK = -1$  such that  $(M, g)$  is Kähler with respect to all of  $I, J$  and  $K$ .

Examples in real dimension 4:

- compact:  $T^4$  and K3 surface
- noncompact: Ricci-flat ALE spaces etc.

Important facts:

- for any  $(\alpha, \beta, \gamma) \in S^2$ ,  $\alpha I + \beta J + \gamma K$  is a complex structure
- hyperkähler 4-manifolds are anti-self-dual

# Twistor spaces

Construction (Penrose'67, Atiyah-Hitchin-Singer'78, HKLR'87)

Let  $N$  be a hyperkähler manifold, the twistor space of  $N$  is the product  $Z(N) = N \times \mathbb{P}^1$  with the almost complex structure

$$\mathfrak{J}_{(x,\zeta)} = \alpha I_x + \beta J_x + \gamma K_x \oplus j_\zeta,$$

where  $j$  is the standard complex structure on  $\mathbb{P}^1$  with coordinate  $\zeta$  given by

$$(\alpha, \beta, \gamma) = \left( \frac{1 - |\zeta|^2}{1 + |\zeta|^2}, \frac{\zeta + \bar{\zeta}}{1 + |\zeta|^2}, \frac{i(\bar{\zeta} - \zeta)}{1 + |\zeta|^2} \right).$$

Important facts:

- $\mathfrak{J}$  is integrable
- the projection  $\pi : Z(N) \rightarrow \mathbb{P}^1$  is holomorphic
- $\wedge^2 T^*F \otimes \pi^* \mathcal{O}(2)$  has a global section which defines a holomorphic symplectic form on each fiber of  $\pi$

# $M$ as pullback

## Key observation:

$M$  fits in the pullback square

$$\begin{array}{ccc}
 M & \longrightarrow & Z(N^4) \\
 \downarrow & & \downarrow \pi \\
 \Sigma_g & \xrightarrow{\nu} & \mathbb{P}^1
 \end{array}$$

Notice that  $\Sigma_g$  is minimal in  $T^3$  implies that  $\nu$  is holomorphic. This observation leads to many generalizations of Calabi-Gray's construction, including construction of simply-connected non-Kähler K3-fibered Calabi-Yau 4-folds with balanced metrics.

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## Geometric construction

Recall that the problem of solving Strominger system on  $M$  comes from taking branched cover. With our new interpretation of  $M$  as pullback, it is natural to consider Strominger system on the twistor space  $Z(N)$ .

**Problem:** A twistor space can never have trivial canonical bundle!

**Remedy:** Remove a divisor from  $Z(N)$  to make it a noncompact Calabi-Yau

### Construction

Let  $N$  be a hyperkähler 4-manifold and let  $\pi : Z(N) \rightarrow \mathbb{P}^1$  be its associated twistor fibration. Let  $F$  be a fiber of  $\pi$ , then  $X := Z(N) \setminus F$  has trivial canonical bundle.

WLOG, we may assume the fiber is over  $\zeta = \infty$ , then a holomorphic  $(3,0)$ -form  $\Omega$  on  $X$  can be written down explicitly

$$\Omega = (-2\zeta\omega_I + (1 - \zeta^2)\omega_J + i(1 + \zeta^2)\omega_K) \wedge d\zeta.$$

# Examples and the main result

## Fibration structure

$$\begin{array}{ccc}
 X = Z(N) \setminus F & \hookrightarrow & Z(N) \\
 \pi \downarrow & & \downarrow \pi \\
 \mathbb{C} & \hookrightarrow & \mathbb{P}^1
 \end{array}$$

## Some examples:

- $N = \mathbb{R}^4$ , then  $X$  is biholomorphic to  $\mathbb{C}^3$
- $N = T^*\mathbb{P}^1$  with Eguchi-Hanson geometry and carefully chosen  $F$ , then  $X$  is biholomorphic to  $\mathcal{O}(-1, -1)$  (Hitchin'81)

## Main result:

### Theorem (F.'15)

Let  $N$  and  $X$  be described above, then a solution to the Strominger system on  $X$  can be written down explicitly.

# The conformally balanced equation

Similar strategy. We begin with the equation

$$d(\|\Omega\|_\omega \cdot \omega^2) = 0.$$

Check that

$$\omega_{g,h} = \frac{e^{2h+g}}{(1 + |\zeta|^2)^2} (\alpha\omega_I + \beta\omega_J + \gamma\omega_K) + e^{2g}\omega_{\text{FS}}$$

solves the conformally balanced equation for any  $g : \mathbb{C} \rightarrow \mathbb{R}$  and  $h : N \rightarrow \mathbb{R}$ . Again, we are making use of the fibration  $\pi : X \rightarrow \mathbb{C}$ .



# The anomaly cancellation

Now we turn to the anomaly cancellation

$$i\partial\bar{\partial}\omega_{g,h} = \frac{\alpha'}{4}(\mathrm{Tr}(R_{g,h} \wedge R_{g,h}) - \mathrm{Tr}(F \wedge F)).$$

Notation:  $s := 1 + |\zeta|^2$ ,  $\omega' := \alpha\omega_I + \beta\omega_J + \gamma\omega_K$  and  $B := s^3/e^{2h+g}$

- Solve for a holomorphic frame
- $LHS = i\partial\bar{\partial}(s^{-2}e^{2h+g}\omega')$
- $\mathrm{Tr}(R_{g,h} \wedge R_{g,h}) = 2i\partial\bar{\partial}(s^{-2}e^{2h-g}\omega') + \mathrm{Tr}(R' \wedge R') + (\partial\bar{\partial} \log B)^2$ , where  $R'$  is the curvature of relative cotangent bundle

So if

- $g = \log(\alpha'/2)/2$
- $(\partial\bar{\partial} \log B)^2 = 0$ , say  $h = \text{const.}$
- $F = R'$

then we solve the anomaly cancellation equation!

# The HYM

To solve the whole system, we need to verify that  $R'$  satisfy HYM:

$$\omega_{g,h}^2 \wedge R' = 0.$$

- type decomposition:

$$\Omega^{1,1}X = \Omega^{1,1}\mathbb{C} \oplus (\Omega^{1,0}\mathbb{C} \otimes \Omega^{0,1}N) \oplus (\Omega^{0,1}\mathbb{C} \otimes \Omega^{1,0}N) \oplus \Omega^{1,1}N.$$

- $R'$  has no  $(\Omega^{1,1}\mathbb{C})$ -component
- only  $(\Omega^{1,1}N)$ -component contributes to  $\omega_{g,h}^2 \wedge R'$
- HYM can be verified fiberwise, using the fact hyperkähler manifolds are anti-self-dual.

**Done!**

## A concrete example

### Example

Take  $N = \mathbb{R}^4$ , then  $X \cong \mathbb{C}^3 = \{(w_1, w_2, \zeta) : w_1, w_2, \zeta \in \mathbb{C}\}$ , the solution metric is

$$\begin{aligned} \omega = & 2is^{-2}d\zeta \wedge d\bar{\zeta} + i/2 \cdot s^{-3}(dw_1 \wedge d\bar{w}_1 + dw_2 \wedge d\bar{w}_2 \\ & + (|u_1|^2 + |u_2|^2)d\zeta \wedge d\bar{\zeta} + iu_2dw_1 \wedge d\bar{\zeta} - iu_1dw_2 \wedge d\bar{\zeta} - i\bar{u}_2d\zeta \wedge d\bar{w}_1 \\ & + i\bar{u}_1d\zeta \wedge d\bar{w}_2), \end{aligned}$$

where

$$(u_1, u_2) = \left( \frac{w_1 - i\zeta\bar{w}_2}{1 + |\zeta|^2}, \frac{w_2 + i\zeta\bar{w}_1}{1 + |\zeta|^2} \right).$$

This metric is conformally flat and non-Kähler.

## A final remark

In order for  $(\partial\bar{\partial} \log B)^2 = 0$ ,  $h$  does not have to be a constant. In the case that  $N = \mathbb{R}^4$ , we can also take  $h$  such that

$$\exp(h) = c \cdot \|x\|^{-3}.$$

This gives a solution of Strominger system on  $\mathbb{C} \times (\mathbb{C}^2 \setminus \{0\})$ . In general I do not know how to find such  $h$  because the lack of explicit knowledge about hyperkähler metrics.

# Conclusion

For any hyperkähler 4-manifold  $N$ , we know that  $X = Z(N) \setminus F$  is a noncompact CY3. We can construct explicit solutions to the Strominger system on  $X$ . Such manifolds include  $\mathbb{C}^3$  and  $\mathcal{O}(-1, -1)$  as special examples.

Hopefully these local models can be used in gluing to give more general solutions.

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